

Message Text

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53-R
ACTION EB-06

INFO OCT-01 EUR-08 ISO-00 ABF-01 SS-15 L-01 A-01 INR-05

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FM AMEMBASSY LONDON
TO SECSTATE WASHDC PRIORITY 5059
INFO AMEMBASSY BONN

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E.O. 11652: N/A
TAGS: ESTC, EGEN, /UK
S/SUBJECT: EAST-WEST TRADE - GAO REVIEW

REF: STATE 163239 & 230263

EMBOFF MADE INFORMAL APPROACH TO DAVID WHITBY OF
DEPARTMENT OF TRADE (FORMERLY DTI) WHO:

A) VERIFIED ANSWERS PREPARED BY SECSTATE FOR
QUESTIONS 1,2,6,7,13,14.

B) SUPPLIED FOLLOWING RESPONSE TO QUESTIONS
NUMBERED 3,4,5,8,10,11,12.

A) QUESTION 3 - PRECISE INFORMATION ON NUMBER OF
POSSIBLE COCOM INFRACTIONS INVESTIGATED IS NOT
AVAILABLE. NUMBER OF PROSECUTIONS FOR KNOWING
WILFUL BREACHES OF COCOM REGULATIONS IN LAST
FOUR YEARS HAVE BEEN VERY FEW - BEST ESTIMATE
IS LESS THAN 5. COMPLIANCE ACTIVITY INCLUDES VERY
LARGE PERCENTAGE OF NON-COCOM ACTIVITIES SINCE
H.M. CUSTOMS INVESTIGATION BRANCH ALSO RESPONSIBLE
FOR ALL CUSTOMS BREACHES, INCLUDING DRUG TRAFFIC.

B) QUESTION 4 - NO LINK EXISTS BETWEEN AGENCIES
EXCEPT AT VERY SENIOR LEVEL WITH DOT. NO
PRIORITIES EXCEPT THAT EXPORT PROMOTION OF
SPECIAL IMPORTANCE GIVEN U.K. BOP DIFFICULTIES.

C) QUESTION 5 - NO LEGAL AUTHORITY. DOT HAS CLOSE
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WORKING RELATIONS WITH U.K. INDUSTRY.

D) QUESTION 8 - WHITBY DECLINED TO COMMENT DIRECTLY BUT DID POINT OUT THAT THE BRITISH GOVERNMENT RECEIVES INFORMATION DIRECTLY FROM A VARIETY OF SOURCES, INCLUDING OVERSEAS POSTS.

E) QUESTION 10 - THERE IS NO FORMAL UKG MONITORING OF TECHNOLOGY OR SCIENTIFIC AGREEMENTS BETWEEN PRIVATE FIRMS AND BLOC COUNTRIES. UKG HAS NO LEGAL CONTROLS RELATING TO TECHNOLOGY TRANSFERS. MAJOR SALES INVOLVING TECHNOLOGY NORMALLY SUBMITTED BY FIRMS FOR ADMINISTRATION APPROVAL. DOUBTFUL CASES ARE SENT THROUGH COCOM.

F) QUESTION 11 - SEE ANSWER TO PREVIOUS QUESTION.

G) QUESTION 12 - DOT HAS NO DIRECT ROLE. INSOFAR AS U.S. ORIGIN COMMODITIES SUBJECT ALSO TO U.K. LICENSING CONTROLS, DOT ENFORCES. HOWEVER, DOT "CANNOT BE SEEN TO BE ENFORCING U.S. LAW". IF GOODS ARE IMPORTED AGAINST A U.K. IC, RE-EXPORT NATURALLY AUTOMATICALLY SUBJECT TO U.K. LAW. ANNENBERG

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Message Attributes

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